PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 06\$1-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
approverk Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	ollection of information unless it displays a valid OMB control number 09/927,160			
		Filing Date	August 9, 2001			
		First Named Inventor	Sushma Pati			
		Art Unit	1632			
		Examiner Name	Anne Marie Falk			
Total Number of Pages in This Submission	38	Attorney Docket Number	41428.0380-004			

ENOLOGUES CONTRACTOR OF THE STATE OF THE STA							
ENCLOSURES (Check all that apply)							
V	Fee Trans	smittal Form (1 pg)		Drawing(s)			After Allowance Communication to TC Appeal Communication to Board
	☐ Fe	ee Attached		Licensing-related Papers			of Appeals and Interferences
Amendment/Reply (21 pgs) After Final Exhbit A (12 pgs) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		Petition Petition to Convert to a Provisional Application Power of Attorney and Correspondence Address Indication Form (1 pg) Statement Under 37 CFR 3.73(b) (1 pg) Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks			retur	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): rn postcard	
				. O	· ·		FNT
		SIGNA	TUKE	OF APPLICANT, ATT	UKNEY, C	K AG	ENI
Firm N		Heller Ehrman White & M	cAuliffe	LLA			
Signati		10		$\mathcal{Y} \longrightarrow$			
Printed	l name	Daniel M. Becker					
Date		October 12, 2004			Reg. No.	38,376	6
	CERTIFICATE OF TRANSMISSION/MAILING						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as EXPRESS mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

the date shown below:

Typed or printed name

Rachel Mena

Signature

EXPRESS MAIL NO .: EL 976 543 664 US

Date

October 12, 2004

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PE	EE	TR	AN	ISN	IIT	TA	L
	†	for	FY	20	04		

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Name (Print/Type)

Daniel M. Becker

(\$)	420

Complete if Known			
Application Number	09/927,160		
Filing Date	August 9, 2001		
First Named Inventor	Sushma Pati		
Examiner Name	Anne Marie Falk		
Art Unit	1632		
Attorney Docket No.	41428.0380-004		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Check Credit card Money Other None	3. ADDITIONAL FEES						
Order Order	Large E	Large Entity Small Entity					
Deposit		Fee (\$)		Fee (\$)	Fee Description	Fee Paid	
Account 08-1641 (41428.0380)	1051	130	2051		Surcharge - late filing fee or oath	Fee Falu	
Number Deposit Lighton Character	1052	50	2052		Surcharge - late provisional filing fee or		
Account Name Heller Ehrman					cover sheet		
The Director is authorized to: (check all that apply)	1053 1812	130	1053 1812 2		Non-English specification For filing a request for ex parte reexamination		
Charge fee(s) indicated below Credit any overpayments	1804	2,320 920*	1804	-,	Requesting publication of SIR prior to		
Charge any additional fee(s) or any underpayment of fee(s)	1604	920	1004	920	Examiner action		
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
to the above-identified deposit account.	1251	110	2251	55	Extension for reply within first month		
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	420	
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month		
Large Entity Fee Fee Fee Fee Fee Description Fee Paid	1254		2254	740	Extension for reply within fourth month		
Code (\$) Code (\$)	1255	2.010	2255	1.005	Extension for reply within fifth month		
1001 770 2001 385 Utility filing fee	1401	330	2401	165	Notice of Appeal		
1002 340 2002 170 Design filing fee 1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403	290	2403		Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
	1452	110	2452	55	Petition to revive - unavoidable		
	1453	1,330	2453	665	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)		
Ext <u>ra Claims below</u> Fee Paid	1502	480	2502	240	Design issue fee		
Total Claims 35 -40** = 0 X 18 = 0	1503	640	2503	320	Plant issue fee		
Claims - 1 = 0	1460	130	1460	130	Petitions to the Commissioner		
Multiple Dependent	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt		
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection		
1201 86 2201 43 Independent claims in excess of 3					(37 ČFR 1.129(a))		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385			
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900			
[0,0	Other f	fee (sp	ecify) _				
**or number previously paid, if greater; For Reissues, see above	*Redu	ced by	Basic f	Filing F	ee Paid SUBTOTAL (3) (\$) 420		
SUBMITTED BY	2				(Complete (if applicable))		

October 12, 2004 Signature WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Express Mail No. EL976543664US Mailing Date: 12 October 2004

Registration No.

38.376

Telephone 650-324-7000

Date